

JANICE PERLMAN

Devoted to bridging the worlds of urban research and public policy

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*President and CEO
The Mega-Cities Project
Innovations for Urban Life*

*Senior Research Scholar
Institute of Latin American Studies
Columbia University*

*Senior Fellow
International Urban
Research Fellow
University of Pennsylvania*

EDUCATION

**MIT- Massachusetts
Institute of
Technology
1971**

Ph.D. in Political Science, High Honors

Concentrations: International Development: Communications & Human Behavior: Research Methods: and Urban Studies

Fellowships: National Science Foundation; National Institutes of Mental Health; International Institute of Education; Woodrow Wilson Teaching Scholarship

**Cornell University
1965**

BA in Anthropology, minor in Latin American Studies, Magna Cum Laude

Honorary Societies: Phi Beta Kappa; Phi Kappa Phi; Alpha Kappa Delta; Alpha Lambda Delta

Scholarships: New York Regent Scholarship; Carnegie Foundation CCHI Summer Field Studies in Anthropology; American National Theater Association

ACADEMIC POSITIONS

**University of
California, Berkeley
1973-1987**

Tenured Professor, Department of City and Regional Planning, Graduate School of Environmental Design

**University of
California, Santa
Cruz
1971-1973**

Assistant Professor, Board of Community Studies and Board of Political Science

VISITING PROFESSOR APPOINTMENTS

- Columbia University, Institute of Latin American Studies (ILAS), Senior Research Scholar, 2018
- University of Paris, Est, Laboratoire Techniques, Territoires et Sociétés (LATTs), Prof Haut Niveau, Paris, 2011
- Pontifical Catholic University (PUC), Sociology Department Rio de Janeiro, Lecturer, 2010
- Trinity College, Professor of Comparative Urban Studies, 1999-2004
- City University of New York (CUNY), Hunter College, Urban Affairs Program, Urban Fellow, 1998-2000
- Federal University of Rio de Janeiro (UFRJ), Institute of Urban and Regional Research and Planning (IPPUR), Visiting Professor, 2000
- International Honors Program (IHP), "Cities in the 21st Century," Study-Travel semester in NYC, Mumbai
- Johannesburg, Cape Town, Rio de Janeiro Curitiba, Washington, D.C., 1999
- New York University, Wagner School of Public Service, 1987-1997
- Getúlio Vargas Foundation (FGV) and Brazilian Institute of Municipal Administration (IBAM), 1968-1969
- Federal University of Minas Gerais (UFMG), Graduate Program in Political Science (PPGCP), 1967

LANGUAGES

English	Native
Portuguese	Fluent
Spanish	Fairly fluent
French	Adequate
Italian	Fair

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PUBLIC POLICY AND NON-PROFIT POSITIONS

- Founder and President of The Mega-Cities Project: Innovations for Urban Life (1987-present), a global non-profit accredited with UN ECOSOC and UNDP /NGO
- Advisor to US-Brazil Joint Initiative on Urban Sustainability (JUIS) - President Obama and Brazilian President Rousseff, preparation for Rio+20, World Cup and Olympics, 2012
- External Evaluator, Gates Foundation, "SCALE-UP: Slum Upgrading in India" (CHF), 2009-2011
- Outside Evaluator, Disaster Preparedness, New York Academy of Medicine, "Pathways Towards Collaboration," Kellogg Foundation, 2007-2010
- Scholar in Residence at the World Bank- Latin America and the Caribbean region (LAC); World Bank Institute, and Urban Infrastructure Division, 2004-2005
- Founding member of ICLEI - Local Governments for Sustainability Board of Directors
- Director, Science, Technology and Public Policy program, New York Academy of Sciences, 1984-1987
- Executive Director, Strategic Planning for the New York City Partnership, 1982-1983 (John C. Whitehead, Chair; David Rockefeller, President)
- Coordinator of the Inter-Agency Task Force, for The National Urban Policy, OMB, HUD, President Carter's Administration, 1977-1978

AWARDS AND HONORS

- Fulbright Award, at Fundação Joaquim Nabuco, Recife, Brazil, 2019-2020
- PROSE Awards for Social Sciences, Social Work and Sociology in recognition of "the very best authors for their commitment to pioneering research and for contributing to landmark work in their field," 2010
- Mayer Global Citizenship Award, "for dedication to solving the most pressing problems facing the world". Tufts University, 2009
- John Simon Guggenheim Memorial Foundation Award, 2005
- Fulbright Award for Longitudinal Research in Rio's Favelas, 2000-2005
- Committees on Infrastructure and on Science & Technology for Internatl Devt. National Academy of Engineering, National Research Council, 1977, 1994, 2000
- International Panel of Judges-- World Awards for Model Cities, Singapore, 1999
- UN Global Citizens Award, for Contribution to Creating Common Unity, 1997
- Chair, Mayor's Summit World Economic Forum, Davos, Switzerland, 1997
- U.S. State Department, Official Delegate to the City Summit, Istanbul, 1996
- CFR- Council on Foreign Relations, (among first female members) 1989
- New York Women's Foundation Founding Board Member, 1987-989
- White House Advisory Committee on Neighborhoods, 1978

GRANTS

- Private Foundations: MacArthur, Ford, Rockefeller, Henry Luce, Tinker, W.K. Kellogg, Rockefeller Brothers Fund, Hewlett, Russell Sage, Japan Foundation, German Marshall Fund, Friedrich Ebert
- International Agencies: World Bank, InterAmerican Development Bank, UNDP; TCDC; UN Habitat, UNFPA; OECD; Dutch Trust Fund, British Department for International Development (DFID), French Foreign Ministry, Canadian International Development Agency (CIDA); USAID
- Corporate Contributions: American Express, AT&T, Chase Bank, Bankers Trust; Chemical Bank; Citibank; IBM; Lucent Technologies, Pfizer, Josiah Macy, Revson, Bechtel; Sony; Starwood Hotel and Resorts

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AWARD-WINNING BOOKS

Favela: Four Decades of Living on the Edge in Rio de Janeiro, Oxford University Press, 2010 (paperback edition, 2011). Published in Portuguese, Ed. FGV, 2019

- Winner of two Publishers PROSE AWARDS (2010) for “Excellence in the Social Sciences” and for Outstanding Contribution to the Field of Sociology and Social Work”

The Myth of Marginality: Urban Poverty and Politics in Rio de Janeiro, UC Press, Berkeley, 1976, Introduction by President Fernando Henrique Cardoso (paperback 1979). paperback edition, 2011). Published in Portuguese, Ed Paz e Terra, SP, 1977

- Winner of 1976 C. Wright Mills Award

OTHER BOOKS

- [The Power of the Peripheries: Favelas Combat Covid;](#) Potência Das Periferias: Favelas Contra A Covid, RIOBooks, Rio de Janeiro, 2022
- Urban Leadership for the 21st Century: Scaling Up and Reaching Out from the Neighborhood Level, Grassroots Innovators in New York and Los Angeles, Kellogg Foundation, 2002
- Heritage Conservation and Urban Revitalization in Three Latin American Cities: Mexico City, Rio de Janeiro and Havana, edited with Charles Rutheiser, Mega-Cities Project, 2001
- Environmental Justice: Promising Solutions at the Intersection of Poverty and the Environment , Mega-Cities Project, 2001. www.megacitiesproject.org/publications/environment.asp
- Inter-Regional Exchange and Transfer of Effective Practices in Urban Management, UNDP (Technical Cooperation among Developing Countries - TCDC), New York, 1995 (translated into Spanish, French and Japanese)
- In Our Own Backyard: Principles for Effective Improvement of the Nation’s Infrastructure, National Research Council, National Academy of Sciences Press, Washington, D.C. (Committee on Infrastructure), 1993
- Cities, People & Poverty: Urban Development Cooperation for the 1990s, UNDP Strategy Paper, United Nations Press, New York, 1991 (contributing writer)

JOURNAL ARTICLES *selected list*

Espaces et Sociétés, International Editorial Board (founder Henri Lefebvre), Paris, France, 2011-present.

- Paris, France, 2011-present. “The more things change, the more they stay the same: Pontezinha and Ponte dos Carvalhos 1965-2022” in *Ciência & Trópico* (v.47, n. 1, 2023) <https://periodicos.fundaj.gov.br/CIC/issue/current>
- “From Demon to Darling: Child of the Dark or Model for Sustainable Cities?—Fifty years of change in perception, policy, and reality in Rio’s Favelas”, *Sustainability Journal*, 2023
- <https://megacitiesproject.org/from-demon-to-darling-child-of-the-dark-or-model-for-2-sustainable-cities/>
- “Cities without Slums are Cities without Soul – Re-thinking Concepts and Consequences of Marginality”, *Dialog* 123, September 2017, pps 4-12
- “Cities from Scratch: poverty and informality in urban Latin America,” Review Article, *Planning Perspectives*, 2016
- “Participatory Budgeting,” *Scientific American*, Special Issue Better, Greener, Smarter Cities, September 2011, p. 87.
- “The Metamorphosis of Marginality: Four Generations in the Favelas of Rio de Janeiro,” *Annals, The American Academy for Political and Social Science (AAPSS)*, 605, May 2006
- “Citizen Participation in City Planning and Development: An Overview and Argument,” *ICFAI Journal of Infrastructure*, Hyderabad, India, Vol. III, No. 2, September 2004
- “From the Marginality of the 1960’s, to the ‘New Poverty’ of Today: A LARR Research Forum,” *Latin American Research Review*, Peter Ward (ed.), Vol. 39:1, February 2004

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- "A Dual Strategy for Deliberate Social Change in Cities," *Cities: The International Quarterly of Urban Policy*, February 1990, pp. 3-15
- "Misconceptions About the Urban Poor and The Dynamics of Housing Policy Evolution," *Journal of Planning Education and Research*, Vol.6, No. 3, 1987, pp. 187-196 (winner of the first Chester Rapkin Award, 1988)
- "Mega Cities and Innovative Technologies," *Cities: International Quarterly of Urban Policy*, May 1987, pp. 128-186
- "Migration & Population Distribution Trending Policies," (with Bruce Schearer), UNFPA, Barcelona, Spain, May 1986
- "New York from the Bottom Up," *Urban Affairs*, NYU, NY, May 1983, pp. 27-34
- "Voices from the Street," *Development: Journal, Society for International Development*, Rome, Vol. 2, 1983, p. 47
- "Neighborhood Research: A Proposed Methodology," *Journal of South Atlantic Urban Studies*, Vol. 4, 1979, p 43-63
- "Neighborhood Organization: America Learns from the Third World," *Built Environment*, 5:2, 1979, pp. 111-119
- "Grassroots Empowerment and Government Response," *Social Policy Magazine*, September/October 1979
- "Grassrooting the System," *Social Policy*, Vol. VII, No. 2, September/October 1976, pp. 4-20
- "Rio's Favelas and the Myth of Marginality," *Politics and Society*, Vol. 5, No. 2, 1975, pp. 131-160
- "The Slandered Slum," *New Society*, Vol. 31, No. 650, London, March 20, 1975, pp. 717-720
- "Methodological Notes on Complex Survey Research Involving Life History Data," Monograph 18, IURD, UC Berkeley, October 1974

BOOK CHAPTERS *selected list*

- "The Hill and the Asphalt: a 50-year Perspective on Informality" in *Informality through Sustainability: Urban Informality Now*, Di Raimo, Lehmann, Melis (eds.) Routledge, 2021, Chapter 16
- "Re-thinking Precarious Neighborhoods. Concepts and Consequences," in *Repenser les quartiers précaires*, Agnès Deboulet (ed.), AFD and Centre SUD, Paris, 2016 (Launched at HABITAT III in Quito, Ecuador, October 2016)
- "Cultural Heritage in the Historic Center and the Port Area of Rio de Janeiro," *Global Report on Culture for Sustainable Urban Development*, Francesco Bandarin (ed.), UNESCO, Paris, 2016 (Launched in Quito, Ecuador)
- "It All Depends: The Formalization of Informal Real Estate Transactions": How Informal Real Estate Markets Work, E. Birch, S. Wachter, and S. Chattaraj (eds.), University of Pennsylvania Press, 2016, Chapter 4
- "What Happens when Marginal becomes Mainstream? How to See the City Challenge as an Opportunity," Chapter IX in *The Buzz in Cities: New Economic Thinking*, Shahid Yusuf (ed.), The Growth Dialogue, DC, 2014
- "Parsing the Urban Poverty Puzzle: A Multi-Generation Panel Study in Rio de Janeiro's Favelas, 1968-2008," in *Urbanization and Development*: Jo Beall, (ed) Oxford University Press, New York, 2010, Chapter 4
- "Re-democratization Viewed from Below" in *Democratic Brazil Revisited*, Kingstone and Power (eds.), University of Pittsburgh Press, 2008, Chapter 12
- "Elusive Pathways Out of Poverty: Intra- and Intergenerational Mobility in the Favelas of Rio de Janeiro," in D. Narayan and P. Petesch (eds.), Palgrave Macmillan, Basingstoke, UK, 2007
- "Fighting Poverty and Environmental Injustice in Cities," in *State of the World 2007: Our Urban Future*, Molly O'Meara Sheehan, (Ed.) Worldwatch Institute, Washington, D.C., 2007, pp. 172-239
- "The Metamorphosis of Marginality: Four Generations in the Favelas of Rio de Janeiro," in *Chronicle of a Myth Foretold*, Massey, et al (eds.), Penn State Press, 2006, Chapter 7: 253-271
- "The Myth of Marginality Revisited" in *Becoming Global and the New Poverty of Cities*, Hanley, Ruble, and Tulchin (eds.), Woodrow Wilson International Center for Scholars, 2005, Chapter 1: 9-54
- "Chronic Poverty in Rio de Janeiro: What Has Changed in 30 Years," in *Managing Urban Futures*, M. Keiner, et al (eds.), Ashgate Publishers, Hampshire, UK, 2005
- "From Bombay to Beijing: Mega-cities and the Urban Century," in *City Edge: Case Studies in Contemporary Urbanism*, Architectural Press, Oxford, UK, 2005

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- "The Megacity Considered," in Top Notch: International Ideas for Today's World, Allen Ascher (ed.), Longman Publishers, Fall 2005
- "Marginality: From Myth to Reality in the Favelas in Rio de Janeiro," in Urban Informality: A Transnational Perspective, Ananya Roy and Nezar AlSayyad (eds.), Lexington Books, 2004, Chapter 5, pp. 105-146
- "The Dissemination and Transfer of Urban Environmental Innovations," in The Future of Urban Environments, Gary Gabbert (ed.), Sage Publication, Newbury Park, CA, 2002
- "Citizen Participation in City Planning and Development," in Model Cities: Urban Best Practices, Ooi Giok Ling (ed.), Singapore, 2000, pp. 122-127
- "Innovations for Sustainable Cities of the 21st Century," in Futures by Design: The Practice of Ecological Planning, Doug Aberley (ed.), New Society Publisher, Philadelphia, PA, 1994, pp. 79-87
- "Mega-Cities: Global Urbanization and Innovation," in Urban Management: Policies and Innovations in Developing Countries, Shabbir Cheema (ed.), Greenwood/Praeger Press, Westport, CT, 1993, pp. 19-50
- "Citizen Action and Participation in Madrid's Planning Process," in Citizen Participation in Western Europe: Paternalism, Conflict and Coproduction, Lawrence Susskind (ed.), Plenum Press, New York, 1983, Chapter 7
- "Copenhagen's Black Quadrant" and "Docklands and Coventry": with Hans Spiegel, in Susskind (ed.), 1983, Chapters 2 and 4
- "Favela Removal: The Eradication of a Lifestyle," in Involuntary Migration and Resettlement: The Problems and Responses of Dislocated People, Art Hanson and Anthony Oliver-Smith (eds.), Westview Press, Boulder, CO, 1981, pp. 225-244
- "Strategies for Squatter Settlements: The State of the Art," in The Residential Circumstances of the Urban Poor in Developing Countries, UN Center for Housing, Building and Planning, Praeger Publishing, NY, 1981, pp. 168-190
- "The Failure of Influence: Squatter Eradication in Brazil," in Politics and Policy Implementation in the Third World, Merilee Grindle (ed.), Princeton University Press, Princeton, NJ, 1980, pp. 152-171
- "Grassroots Participation from Neighborhood to Nation," in Citizen Participation in America: Essays on the State of the Art, Stuart Langton (ed.), Lexington Books, D.C. Heath and Co., Lexington, MA, 1978, pp. 65-80

PRESENTATIONS & KEYNOTES

- Norman Foster Foundation- Madrid, 2019
- Brazilian Academy of Sciences-Rio, 2019
- Lincoln Land Institute, 2018; IADB, DC, 2018
- US Consulate, Rio, 2017
- ABET (Board for Engineering and Technology), Baltimore, 2017
- UN HABITAT III, Quito, 2016
- German Ministry for Economic Cooperation, 2016;
- Maison de France, 2016
- Technical University of Munich, 2014
- Chinese Academy of Social Sciences, Lima, 2014
- Geneva Global, Dubai, 2014
- VATICAN, Pontifical University, Rome, 2014
- World Urban Forum, Medellin, Colombia, 2014
- The Growth Dialogue and George Washington University, "Frontier Challenges in Urbanization", January 10, 2014
- Human HABITAT, Lisbon, 2013
- Atlantic Council, 2030
- DC, 2012;
- Eco-City World Summit, Honorary Co-President with Jan Gehl, Montreal, 2011
- Tallberg Forum, Sigtuna, Sweden, 2011
- La Vilette, Paris, 2011
- Green Templeton College, Oxford University, 2011