

# JANICE ELAINE PERLMAN

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Dr. Perlman's work combines scholarly research with public policy and practice. She is the founder and president of the Mega-Cities Project, a global non-profit dedicated to "shortening the lag time between ideas and implementation in urban problem-solving." Its current phase, MC<sup>2</sup>, aims to maximize the impact of the next generation of urban leaders.

Her most recent book, *Favela: Four Decades of Living on the Edge in Rio de Janeiro* (OUP, 2010), traces the life histories of hundreds of families over four generations, starting in 1968. It follows upon her seminal book, *The Myth of Marginality* (UC Press, 1976), which contested misconceptions about the urban poor. Her awards include a Guggenheim, the C. Wright Mills Award, the Chester Rapkin Award and two publishers' PROSE Awards.

During her tenure as Professor of City and Regional Planning at the University of California, Berkeley she also served as the Strategic Planning Director for the NYC Partnership, Coordinator of the National Urban Policy for Neighborhoods, and Director of Science, Technology and Public Policy at the NY Academy of Sciences.

Currently Perlman is completing the final phase of a three-year research project on "*Mega-Events, Public Policy and the Future of Rio's Favelas: Goals Won and Lost in the Struggle for the Right to the City.*" She is applying for a Fulbright grant to disseminate and discuss the research findings with stakeholders in Rio de Janeiro and Brazil, and transform the final report for the Tinker Foundation into a book.

## EDUCATION

**Ph.D.** Massachusetts Institute of Technology (MIT), in Political Science, with High Honors, 1971.  
Fields in International Development, Communications/Human Behavior, Research Methods, and Urban Studies.

Graduate Fellowships: NSF Research Grant for Doctoral Dissertation; NIMH Pre-Doctoral Fellowship; Woodrow Wilson Teaching Scholarship; International Institute of Education.

**B.A.** Cornell University, in Anthropology, minor in Latin American Studies, Magna Cum Laude, 1965.  
Honorary Societies: Phi Beta Kappa; Phi Kappa Phi (National Honorary Society for All Subjects); Alpha Kappa Delta (National Sociological Honorary Society); Alpha Lambda Delta (National Women's Honorary Society).

Scholarships: New York Regent Scholarship; Carnegie Foundation CCHI Summer Field Studies Program in Anthropology; American National Theater Association.

## AWARDS

- ♦ Awards for "Youth Initiatives and Emerging Voices" at Habitat III in Quito, 2016.
- ♦ Publishers PROSE Awards for "Excellence in the Social Sciences" and for "Outstanding Contribution to the field of Sociology and Social Work," 2010. The Prose Awards are "given each year to recognize the very best scholarly publishers and authors for their commitment to pioneering works of research and for contributing to landmark work in their field."
- ♦ John Simon Guggenheim Memorial Foundation Award for 2005.
- ♦ Chester Rapkin Award, "Best Paper of the Year," Journal of Planning Education & Research (JPER), "Misconceptions of the Urban Poor and the Dynamics of Housing Policy Evolution," 1988.
- ♦ C. Wright Mills Award, The Society for the Study of Social Problems for *The Myth of Marginality*. "Most outstanding contribution of the year to social policy," 1977.



## EMPLOYMENT

### Academic Positions

**Assistant Professor:** Political Science and Community Studies Departments, **University of California, Santa Cruz** (UCSC) (1971-1973).

**Tenured Professor:** Department of City and Regional Planning (DCRP), Graduate School of Environmental Design, **University of California, Berkeley** (UCB) (1973-1987).

**Senior Research Scholar:** Wagner School of Public Service, **New York University** (NYU) (1987-1997).

**Urban Fellow:** Urban Studies Department, Hunter College, **City University of New York** (CUNY) (1998-2000).

**Professor of Comparative Urban Studies:** **Trinity College** (1999-2004).

**Creator, Faculty Director and Professor:** "Cities in the 21st Century," **International Honors Program** (IHP) - Full semester four-credit travel/study course with orientation in NYC and a month each in India (Mumbai), South Africa (Johannesburg and Cape Town), and Brazil (Rio de Janeiro and Curitiba), culminating in student reports at the Smithsonian Institute in Washington, D.C. (1999 - ongoing, with other cities and faculty members).

**Visiting Professor:** Urban Planning Program, Graduate School of Architecture, Planning & Preservation, **Columbia University** (2005-2006).

**Visiting Professor** in France: Haut Niveau, Laboratoire Techniques, Territoires et Sociétés (LATTS), École Nationale des Ponts et Chaussées, **Université Paris - Est** (2011).

**Visiting Professor / Lecturer** in Brazil: Social Sciences, **Pontifical Catholic University of Rio de Janeiro** (PUC Rio); Institute of Research and Urban and Regional Planning (IPPUR), **Federal University of Rio de Janeiro** (UFRJ); **Getúlio Vargas Foundation** (FGV), Rio de Janeiro; **Brazilian Institute of Municipal Administration** (IBAM); and Graduate Program in Political Science (PPGCP), **Federal University of Minas Gerais** (UFMG). (starting with most recent in 2010 and ending with earliest in 1967).

### Public Policy and Non-Profit Positions

**Founder and President** of the Mega-Cities Project: Innovations for Urban Life (1987-present), a 501(c) 3 non-profit associated with the United Nations Department of Public Information (DPI). Currently creating MC<sup>2</sup> - the next generation version.

**Coordinator** of the Inter-Agency Neighborhoods Task Force, for The National Urban Policy, Office of Management and Budget and the Department of Housing and Urban Development, Carter Administration (1977-1978).

**Executive Director**, Strategic Planning for the New York City Partnership (1982-1983).

**Creator and Director** of Program on Science, Technology and Public Policy at the New York Academy of Sciences (1984-1987).

**Scholar in Residence** at the World Bank for Latin America and the Caribbean (LAC), World Bank Institute, and Urban Infrastructure Division (2004-2005).

**Program Evaluator**, Division of Public Health, New York Academy of Medicine, "Pathways Towards Collaboration: Community Partnerships," Kellogg Foundation (2007-2010).

**External Evaluator**, Gates Foundation, "SCALE-UP: Slum Upgrading in India" (CHF) (2009-2011).

**Advisor** to US-Brazil Joint Initiative on Urban Sustainability - President Obama and Brazilian President Dilma Rousseff, preparation for Rio+20, World Cup and Olympics (2012).



## LANGUAGES

English - Native.

Portuguese - Fluent.

Spanish - Fairly fluent.

French - Adequate.

Italian - Limited.

 PUBLICATIONS BOOKS

**FAVELA: Four Decades of Living on the Edge in Rio de Janeiro**, Oxford University Press, 2010. (Paperback edition, 2011).

Awards for *FAVELA*:

PROSE Award 2010 selection for "Excellence in the Social Sciences."

PROSE Award 2010 selection for "Outstanding Contribution to the Field of Sociology and Social Work."

Reviews of *FAVELA* (partial list):

*International Journal of Urban and Regional Research (IJURR)*, Jacinto Cuvi, 2012.

Vitruvius, "Favelas brasileiras: do insulamento à integração na cidade formal," *Resenhas Online*, Roberto Segre and José Barki, March 2012.

*Berkeley Review of Latin American Studies*, "Becoming Gente in Rio's Favelas," Wendy Muse Sinek, Spring-Summer 2011.

*O Estado de S. Paulo*, "A Primeira Gringa da Favela," Matthew Shirts, March 2011.

*Cornell Alumni Magazine*, "Settling Up: A Pioneering Researcher Returns to the Favelas of Rio," Beth Saulnier, November/December 2010.

*The Economist*, "Shanty Life in Brazil: Onward and Upward," July 2010.

*Foreign Affairs*, Richard Feinberg, May/June 2010.

*The Chronicle of Higher Education*, June 2010.

*Cities: The International Journal of Urban Research*, John Friedmann, 2010.

*Publishers Weekly*, Starred Review, October 2009.

*Veja*, "A Cidadania Ficou Para Trás," March 2004.

*Época*, "Cidadania no Brasil e no mundo," Solange Azevedo, January 2004.

***The Myth of Marginality: Urban Poverty and Politics in Rio de Janeiro***, University of California Press, Berkeley, 1976, Introduction by Fernando Henrique Cardoso (paperback 1979). **C. Wright Mills Award 1976.**

***O Mito da Marginalidade: Favelas e Política no Rio de Janeiro***, Editora Paz e Terra, São Paulo, Brasil, 1977.

*Urban Leadership for the 21st Century: Scaling Up and Reaching Out from the Neighborhood Level*, Grassroots Innovators in New York and Los Angeles, Kellogg Foundation, 2002. [www.megacitiesproject.org/publications/urban.asp](http://www.megacitiesproject.org/publications/urban.asp)

*Heritage Conservation and Urban Revitalization in Three Latin American Cities: Mexico City, Rio de Janeiro and Havana*, edited with Charles Rutheiser, Mega-Cities Project, 2001.

*Environmental Justice: Promising Solutions at the Intersection of Poverty and the Environment* (editor and contributing author), Mega-Cities Project, 2001. [www.megacitiesproject.org/publications/environment.asp](http://www.megacitiesproject.org/publications/environment.asp)

*Inter-Regional Exchange and Transfer of Effective Practices on Urban Management*, UNDP (Technical Cooperation among Developing Countries - TCDC), New York, 1995 (translated into Spanish, French and Japanese).

*In Our Own Backyard: Principles for Effective Improvement of the Nation's Infrastructure*, National Research Council, National Academy of Sciences Press, Washington, D.C. (joint work of Committee on Infrastructure), 1993.

*Cities, People & Poverty: Urban Development Cooperation for the 1990s*, UNDP Strategy Paper, United Nations Press, New York, 1991 (contributing writer).

 PUBLICATIONS JOURNAL EDITING

"Urban Innovation for the 21st Century," Guest Editor for special issue of *Cities: The International Journal of Urban Policy and Planning*, Volume 7, No. 1, London, February 1990.

"Urban Self-Reliance," Guest Editor with Pablo Gutman for special issue of *Development: Journal of the Society for International Development*, Rome, Spring 1987.

*Espaces et Sociétés*, International Editorial Board (founder Henri Lefebvre), Paris, France, 2011-present.

*UrbanAge: Global Cities Magazine*, Editorial Advisory Board, Washington, D.C., 1982-2002.


**PUBLICATIONS ARTICLES** (selected list)

- "Cities from Scratch: poverty and informality in urban Latin America," Review Article, *Planning Perspectives*, 2016.
- "RIO 2016: Perspectives Beyond the Mega-Event," *Politheor Special Report*, European Policy Network, International Affairs Interview, Simon Marijsse, June 29, 2016.
- "Urbanization, Megacities and Informal Settlements," in *APuZ: Politics and Contemporary History*, May 12, 2014, pp. 52-60.
- "A Call to Action-Our Cities, Ourselves," *Daylight and Architecture (D/A)*, Velux Group, Issue 17, Summer 2012, pp.21-25.
- "Populist Purse-Strings Control: Participatory Budgeting," *Scientific American*, Special Issue on *Better, Greener, Smarter Cities*, September 2011, p. 87.
- "It All Depends: Buying and Selling Houses in Rio's Favelas," International Housing Coalition, Washington, D.C., 2011.
- "Cities, Dreams: Desires and Fears," *TRIALOG 92: Journal for Planning and Building in the Third World*, Special Issue on *Mega-Cities*, January 2007.
- "The Metamorphosis of Marginality: Four Generations in the Favelas of Rio de Janeiro," *Annals*, The American Academy for Political and Social Science (AAPSS), 605, May 2006.
- "Violence as Vulnerability in the Favelas of Rio de Janeiro," *Journal of Contingencies and Crisis Management*, Brian Jacobs (ed.), Staffordshire University, UK, 2006.
- "Book Review of *City Requiem*, Calcutta: Gender and the Politics of Poverty," Ananya Roy, *Planning Theory and Practice*, Vol. 6, No. 1, March 2005, pp. 138-140.
- "Music and Movies from Rio de Janeiro Tell the Story: Urban Poverty Then and Now," *Metro*, May 2005.
- "Citizen Participation in City Planning and Development: An Overview and Argument," *ICFAI Journal of Infrastructure*, Hyderabad, India, Vol. III, No. 2, September 2004.
- "From the Marginality of the 1960's, to the 'New Poverty' of Today: A LARR Research Forum," *Latin American Research Review*, Peter Ward (ed.), Vol. 39:1, February 2004.
- "Lessons from a Longitudinal Panel Study in Rio's Favelas 1969-2003," in Caroline Moser (ed.), *Development Planning Unit Working Paper No. 124*, Bartlett School, University College London, 2003.
- "O Impacto das Políticas Sociais nas Favelas Cariocas," *Rio Estudos*, no. 102, Secretaria Especial de Comunicação Social, Prefeitura da Cidade do Rio de Janeiro, May 8, 2003.
- "A Dinâmica da Pobreza Urbana: Um Estudo Longitudinal em Três Comunidades do Rio de Janeiro 1969-2001," *Trabalho e Sociedade*, Ano 2: No. 3, IETS, Abril 2002, pp. 21-27.
- "Shrinking World, Growing Cities," *Trinity Reporter*, Spring 2001, pp. 22 -26.
- "From Favelados to Citizens" (with Flavia Sekles), *UrbanAge: Global Cites Magazine*, Winter 2000, pp. 34-36.
- "The Advent of the Megacity," *Livable Communities: Improving Life in the World's Megacities*, USIA Publication, Washington, D.C., 1999, pp. 31-40.
- "**A Dual Strategy for Deliberate Social Change in Cities**," *Cities: The International Quarterly of Urban Policy*, February 1990, pp. 3-15.
- "Seis ideias errôneas sobre favelas," *Review of Municipal Administration (Revista de Administração Municipal)*, July-September 1987, pp. 40-52.
- "Misconceptions About the Urban Poor and The Dynamics of Housing Policy Evolution," *Journal of Planning Education and Research*, Vol.6, No. 3, Spring 1987, pp. 187-196 (winner of the first **Chester Rapkin Award**, 1988).
- "Mega Cities and Innovative Technologies," *Cities: The International Quarterly of Urban Policy*, May 1987, pp. 128-186.
- "Six Misconceptions about Squatter Settlements," *Development: Journal of the Society for International Development*, 1986:4, pp. 40-44.
- "Migration and Population Distribution Trends and Policies and the Urban Future," with Bruce Schearer, *Publication of the International Conference on Population and the Urban Future*, UNFPA, Barcelona, Spain, May 1986.
- "New York from the Bottom Up," *Urban Affairs*, New York University, NY, May 1983, pp. 27-34.

- "Voices from the Street," *Development: Journal of the Society for International Development*, Rome, Italy, Vol. 2, 1983, pp. 47-52.
- "Neighborhood Research: A Proposed Methodology," *Journal of South Atlantic Urban Studies*, Vol. 4, 1979, pp. 43-63.
- "Neighborhood Organization: America Learns from the Third World," *Built Environment*, 5:2, 1979, pp. 111-119.
- "Grassroots Empowerment and Government Response," in *Social Policy Magazine*, September/October 1979.
- "Community Action Groups and City Government: A Review," *Policy Analysis*, Winter 1978.
- "Daydreams and Nightmares: Political Style in Brazilian Favelas," *The Third World Review*, Vol. 3, No. 1, Spring 1977.
- "Cowboydemokratie: Basisbewegungen in den USA," *Slowenenendlösung Forum*, December 1976, pp. 47-50.
- "**Grassrooting the System**," *Social Policy*, Vol. VII, No. 2, September/October 1976, pp. 4-20. (Reprinted in Fred M. Cox et al., *Strategies of Community Organization*, Peacock Publishers, 1978; T.E. Shoemaker, *State and Local Government Politics*, Palisades Publishers, 1979; *Capitalism in Crisis*, URPE, New York, 1978.
- "Les Groups de Base dans les Années Soixante-Dix," *Les Temps Modernes*, 32<sup>e</sup> Année, No. 361-362, August/September 1976, pp. 163-187.
- "USA 1976: Action Directe et Projets Alternatifs: Un Bouillonnement de Groupes de Base," *Autrement*, May/June 1976, pp. 54-63.
- "Rio's Favelas and the Myth of Marginality," *Politics and Society*, Vol. 5, No. 2, 1975, pp. 131-160.
- "The Slandered Slum," *New Society*, Vol. 31, No. 650, London, March 20, 1975, pp. 717-720. (Reprinted in *The Third World: A Social Studies Reader*, IPC Publishers, London, 1976).
- "Methodological Notes on Complex Survey Research Involving Life History Data," *Monograph No. 18*, Institute of Urban and Regional Development, Berkeley, California, October 1974.
- "Dimensões de Modernidade Numa Cidade em Franco Desenvolvimento: Estudo de Caso de Belo Horizonte" (Dimensions of Modernity in a Developing City: Case Study of Belo Horizonte), *Revista Brasileira de Estudos Políticos*, November 30, 1971.

 **PUBLICATIONS BOOK CHAPTERS (partial list)**

- "**Re-thinking Precarious Neighborhoods. Concepts and Consequences**," in *Repenser les quartiers précaires, écrits, cheminements et interventions*, Agnès Deboulet (ed.), AFD and Centre SUD, Paris, 2016 (Launched at HABITAT III in Quito, Ecuador, October 2016).
- "**Tangible and Intangible Cultural Heritage in the Historic Center and the Port Area of Rio de Janeiro**," in *The Global Report on Culture for Sustainable Urban Development*, Francesco Bandarin (ed.), UNESCO, Paris, 2016 (Launched at HABITAT III in Quito, Ecuador, October 2016).
- "**It All Depends: The Formalization of Informal Real Estate Transactions in Rio's Favelas**," in *Slums: How Informal Real Estate Markets Work*, Eugenie L. Birch, Susan M. Wachter, and Shahana Chattaraj (eds.), University of Pennsylvania Press, 2016, Chapter 4.
- "**What Happens when Marginal becomes Mainstream? How to See the City Challenge as an Opportunity**," in *The Buzz in Cities: New Economic Thinking*, Shahid Yusuf (ed.), The Growth Dialogue, 2014, Chapter IX.
- "What Rights of Way?" Foreword to Charles Fortin's book *Rights of Way to Brasilia Teimosa*, Sussex Academic Press, 2013.
- "Favelas Ontem e Hoje: 1969-2009," in *Favelas Cariocas Ontem e Hoje*, Editora Garamond Ltda, Rio de Janeiro, 2012.
- "Eco-cities for All: Getting There," in *Ecocities*, Jayne Engle-Warnick, David Brown, and Ray Tomalty (eds.), Island Press, 2012.
- "Parsing the Urban Poverty Puzzle: A Multi-Generation Panel Study in Rio de Janeiro's Favelas, 1968-2008," in *Urbanization and Development: Multidisciplinary Perspectives*, Jo Beall, Basudeh Guha-Khasnobis, and Ravi Kanbur (eds.), Oxford University Press, New York, 2010, Chapter 4.
- "**Re-democratization Viewed from Below: Urban Poverty and Politics in Rio de Janeiro, 1968-2005**," in *Democratic Brazil Revisited*, Peter Kingstone and Timothy J. Power (eds.), University of Pittsburg Press, Pittsburg, 2008, Chapter 12.

- "**Elusive Pathways Out of Poverty: Intra- and Intergenerational Mobility in the Favelas of Rio de Janeiro,**" in *Moving Out of Poverty: Cross-Disciplinary Perspectives*, in D. Narayan and P. Petesch (eds.), World Bank, Washington, D.C.; and Palgrave Macmillan, Basingstoke, UK, 2007.
- "**Fighting Poverty and Environmental Injustice in Cities,**" in *State of the World 2007: Our Urban Future*, Molly O'Meara Sheehan, (Ed.) Worldwatch Institute, Washington, D.C., 2007, pp. 172-239.
- "The Dynamics of Urban Poverty Across Time and Space," in *Globalizing Cities: Inequality and Segregation in Developing Countries*, R.S. Sandhu and Jasmeet Sandhu (eds.), Rawat Publications, Jaipur, India, 2007, pp.339-392.
- "The Metamorphosis of Marginality: Four Generations in the Favelas of Rio de Janeiro," in *Chronicle of a Myth Foretold: The Washington Consensus in Latin America*, Douglas Massey, M. Sanchez, and J. Behrman (eds.), Penn State Press, 2006, Chapter 7, pp. 253-271.
- "The Myth of Marginality Revisited: The Case of Favelas in Rio de Janeiro - 1969-2003," in *Becoming Global and the New Poverty of Cities*, Lisa Hanley, Blair Ruble, and Joseph Tulchin (eds.), Woodrow Wilson International Center for Scholars, Washington, D.C., 2005, Chapter 1, pp. 9-54.
- "Chronic Poverty in Rio de Janeiro: What Has Changed in 30 Years," in *Managing Urban Futures - Sustainability and Urban Growth in Developing Countries*, M. Keiner, W.A. Schmid, and M. Koll-Schretzenmayr (eds.), Ashgate Publishers, Hampshire, UK, 2005.
- "The Intergenerational Persistence of Poverty: Life Trajectories in Rio's Favelas, 1968-2002," in *Social Transformation in Brazil*, Mauricio Font and Desmond Arias (eds.), The Bildner Center, City University of New York (CUNY), 2005.
- "From Bombay to Beijing: Mega-cities and the Urban Century," in *City Edge: Case Studies in Contemporary Urbanism*, Architectural Press, Oxford, UK, 2005.
- "The Megacity Considered," in *Top Notch: International Ideas for Today's World*, Allen Ascher (ed.), Longman Publishers, Fall 2005.
- "**Marginality: From Myth to Reality in the Favelas in Rio de Janeiro 1969-2002,**" in *Urban Informality in an Era of Liberalization: A Transnational Perspective*, Ananya Roy and Nezar AlSayyad (eds.), Lexington Books, 2004, Chapter 5, pp. 105-146.
- "**The Dissemination and Transfer of Urban Environmental Innovations,**" in *The Future of Urban Environments*, Gary Gappert (ed.), Sage Publication, Newbury Park, CA, 2002.
- "Citizen Participation in City Planning and Development," in *Model Cities: Urban Best Practices*, Ooi Giok Ling (ed.), Singapore, 2000, pp. 122-127.
- "Innovations for Sustainable Cities of the 21st Century," in *Futures By Design: The Practice of Ecological Planning*, Doug Aberley (ed.), New Society Publisher, Philadelphia, PA, 1994, pp. 79-87.
- "**Mega-Cities: Global Urbanization and Innovation,**" in *Urban Management: Policies and Innovations in Developing Countries*, Shabbir Cheema (ed.), Greenwood/Praeger Press, Westport, CT, 1993, pp. 19-50.
- "**Citizen Action and Participation in Madrid's Planning Process,**" in *Citizen Participation in Western Europe: Paternalism, Conflict and Co-Production*, Lawrence Susskind (ed.), Plenum Press, New York, 1983, Chapter 7.
- "**Copenhagen's Black Quadrant: The Facade and Reality of Participation,**" with Hans Spiegel, in Susskind (ed.), 1983, Chapter 2.
- "**Docklands and Coventry: Two Citizen Action Groups in Britain's Economically Declining Areas,**" with Hans Spiegel, in Susskind (ed.), 1983, Chapter 4.
- "Favela Removal: The Eradication of a Life-Style," in *Involuntary Migration and Resettlement: The Problems and Responses of Dislocated People*, Art Hanson and Anthony Oliver-Smith (eds.), Westview Press, Boulder, CO, 1981, pp. 225-244.
- "Strategies for Squatter Settlements: The State of the Art," in *The Residential Circumstances of the Urban Poor in Developing Countries*, The United Nations Center for Housing, Building and Planning, Praeger Publishing, New York, 1981, pp. 168-190.
- "The Failure of Influence: Squatter Eradication in Brazil," in *Politics and Policy Implementation in the Third World*, Merilee Grindle (ed.), Princeton University Press, Princeton, NJ, 1980, pp. 152-171.
- "**Grassroots Participation from Neighborhood to Nation,**" in *Citizen Participation in America: Essays on the State of the Art*, Stuart Langton (ed.), Lexington Books, D.C. Heath and Co., Lexington, MA, 1978, pp. 65-80.